

Date:

To,  
**Vertex Securities Limited**  
**II Floor, Thottathil Towers, Market Road, Cochin-682018**

Dear Sir / Madam,

**Sub: Request for Exchange/Segment Addition/ Modification**

Ref: Client Code: \_\_\_\_\_ PAN: \_\_\_\_\_

Mobile Number: \_\_\_\_\_ E mail Id: \_\_\_\_\_

1. I / We \_\_\_\_\_ registered with you as a client; wish to modify/add Trading Preference mentioned by me/us in the KYC forms submitted to you at the time of my enrolment or at any time thereafter. 2. I wish to trade in the below segment opted and signed:

| Exchange   | Segment                      | Signature |
|------------|------------------------------|-----------|
| NSE        | Equity                       |           |
| NSE        | Futures & Options            |           |
| NSE        | Currency Derivatives         |           |
| NSE        | Commodity Derivatives        |           |
| NSE        | SLBM                         |           |
| BSE        | Equity                       |           |
| BSE        | Futures & Options            |           |
| <b>MCX</b> | <b>Futures &amp; Options</b> |           |

This letter is in addition to the authorization/preference given earlier by me/us to you modifying my trading preferences.

3. I/We understand that the brokerage charged to me in the new segment/ new exchange respective segment is specified below:

| SCHEDULE OF CHARGES FOR COMMODITY TRADING |                       |                                   |                           |                       |          |
|-------------------------------------------|-----------------------|-----------------------------------|---------------------------|-----------------------|----------|
| 1. BROKERAGE                              |                       |                                   |                           |                       |          |
| GENERAL BROKERAGE DETAILS                 |                       |                                   | SPECIAL BROKERAGE DETAILS |                       |          |
| Brokerage                                 | Trading/Intra Day     | Delivery                          | Brokerage                 | Trading/Intra Day     | Delivery |
|                                           | Buy & Sell (Each Leg) |                                   |                           | Buy & Sell (Each Leg) |          |
| Commodity Derivatives                     | 0.03%                 | 0.25%                             | Commodity Derivatives     |                       |          |
| Commodity Option                          | Rs.50/Lot             | Option Carry forward<br>Rs.50/Lot |                           |                       |          |

| Statutory Charges (Applicable to Offline and Online Clients as the case may be) |        |                                   |                                 |
|---------------------------------------------------------------------------------|--------|-----------------------------------|---------------------------------|
| Securities Transaction Tax (STT)                                                |        | Commodities Transaction Tax (CTT) |                                 |
| Options only on selling side                                                    | 0.05%  | Futures only on selling side      | 0.01%                           |
| Sale of an option, where option is exercised                                    | 0.125% |                                   |                                 |
| Goods & Service Tax (GST)                                                       |        |                                   | 18%                             |
| *Exchange Levy                                                                  |        |                                   | As applicable from time to time |
| Stamp Duty                                                                      |        |                                   |                                 |
| *All charges are exclusive of taxes, Levies and other statutory charges         |        |                                   |                                 |

#### 4. Additional details for clients opting for commodity

##### CLIENT CATEGORIZATION-COMMODITY DERIVATIVES

With a view to understand the extend of involvement of the physical market participants and to identify the nature of participants in the Commodities Derivative market, Members of the Exchange are required to categorize their clients under one of the following category as per the Exchange Circular No. MCX/TECH/422/2019 dated August 08, 2019 and pursuant to SEBI circular No. SEBI/HO/CDMRD/DNMPMP/CIR/P/2019/08 dated January 04, 2019. Accordingly the category in which I wish to participate is given (ticked) below.

| Farmers/FPOs-1                      | Value Chain Participants - 2        | Domestic Financial Institutional Investors-4 | Foreign Participants - 5            | Others - 6                          |
|-------------------------------------|-------------------------------------|----------------------------------------------|-------------------------------------|-------------------------------------|
| <input type="checkbox"/> ALUMINIUM  | <input type="checkbox"/> ALUMINIUM  | <input type="checkbox"/> ALUMINIUM           | <input type="checkbox"/> ALUMINIUM  | <input type="checkbox"/> ALUMINIUM  |
| <input type="checkbox"/> BRASS      | <input type="checkbox"/> BRASS      | <input type="checkbox"/> BRASS               | <input type="checkbox"/> BRASS      | <input type="checkbox"/> BRASS      |
| <input type="checkbox"/> CARDAMOM   | <input type="checkbox"/> CARDAMOM   | <input type="checkbox"/> CARDAMOM            | <input type="checkbox"/> CARDAMOM   | <input type="checkbox"/> CARDAMOM   |
| <input type="checkbox"/> CASTORSEED | <input type="checkbox"/> CASTORSEED | <input type="checkbox"/> CASTORSEED          | <input type="checkbox"/> CASTORSEED | <input type="checkbox"/> CASTORSEED |
| <input type="checkbox"/> COPPER     | <input type="checkbox"/> COPPER     | <input type="checkbox"/> COPPER              | <input type="checkbox"/> COPPER     | <input type="checkbox"/> COPPER     |
| <input type="checkbox"/> COTTON     | <input type="checkbox"/> COTTON     | <input type="checkbox"/> COTTON              | <input type="checkbox"/> COTTON     | <input type="checkbox"/> COTTON     |
| <input type="checkbox"/> CRUPALMOIL | <input type="checkbox"/> CRUPALMOIL | <input type="checkbox"/> CRUPALMOIL          | <input type="checkbox"/> CRUPALMOIL | <input type="checkbox"/> CRUPALMOIL |
| <input type="checkbox"/> CRUDE OIL  | <input type="checkbox"/> CRUDE OIL  | <input type="checkbox"/> CRUDE OIL           | <input type="checkbox"/> CRUDE OIL  | <input type="checkbox"/> CRUDE OIL  |
| <input type="checkbox"/> GOLD       | <input type="checkbox"/> GOLD       | <input type="checkbox"/> GOLD                | <input type="checkbox"/> GOLD       | <input type="checkbox"/> GOLD       |
| <input type="checkbox"/> LEAD       | <input type="checkbox"/> LEAD       | <input type="checkbox"/> LEAD                | <input type="checkbox"/> LEAD       | <input type="checkbox"/> LEAD       |
| <input type="checkbox"/> MENTHAOIL  | <input type="checkbox"/> MENTHAOIL  | <input type="checkbox"/> MENTHAOIL           | <input type="checkbox"/> MENTHAOIL  | <input type="checkbox"/> MENTHAOIL  |
| <input type="checkbox"/> NATURALGAS | <input type="checkbox"/> NATURALGAS | <input type="checkbox"/> NATURALGAS          | <input type="checkbox"/> NATURALGAS | <input type="checkbox"/> NATURALGAS |
| <input type="checkbox"/> NICKEL     | <input type="checkbox"/> NICKEL     | <input type="checkbox"/> NICKEL              | <input type="checkbox"/> NICKEL     | <input type="checkbox"/> NICKEL     |
| <input type="checkbox"/> PEPPER     | <input type="checkbox"/> PEPPER     | <input type="checkbox"/> PEPPER              | <input type="checkbox"/> PEPPER     | <input type="checkbox"/> PEPPER     |
| <input type="checkbox"/> RBDPALM    | <input type="checkbox"/> RBDPALM    | <input type="checkbox"/> RBDPALM             | <input type="checkbox"/> RBDPALM    | <input type="checkbox"/> RBDPALM    |
| <input type="checkbox"/> SILVER     | <input type="checkbox"/> SILVER     | <input type="checkbox"/> SILVER              | <input type="checkbox"/> SILVER     | <input type="checkbox"/> SILVER     |
| <input type="checkbox"/> ZINC       | <input type="checkbox"/> ZINC       | <input type="checkbox"/> ZINC                | <input type="checkbox"/> ZINC       | <input type="checkbox"/> ZINC       |
| <input type="checkbox"/> KAPAS      | <input type="checkbox"/> KAPAS      | <input type="checkbox"/> KAPAS               | <input type="checkbox"/> KAPAS      | <input type="checkbox"/> KAPAS      |
| <input type="checkbox"/> RUBBER     | <input type="checkbox"/> RUBBER     | <input type="checkbox"/> RUBBER              | <input type="checkbox"/> RUBBER     | <input type="checkbox"/> RUBBER     |

| Categories of Participants |                                            |                                                                                                                                                                                                                                                  |
|----------------------------|--------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Sr. No.                    | Client Category                            | Description                                                                                                                                                                                                                                      |
| 1                          | Farmers/FPOs                               | It includes participants such as farmers, farmers' cooperatives, Farmers Producers Organisations (FPOs) and such entities of like nature. FPO / Farmers consists of collectivization of Producers especially small and marginal farmers          |
| 2                          | Value chain participants (VCPs)            | It includes participants such as Processors, Commercial users as Dal and Flour Millers, Importers, Exporters, Physical Market Traders, Stockists, Cash & Carry participants, Producers, SMEs/MSMEs & Wholesalers etc., but exclude farmers/FPOs. |
| 3                          | Proprietary traders                        | It includes the members of stock exchanges trading in their proprietary account                                                                                                                                                                  |
| 4                          | Domestic financial institutional investors | It includes participants such as Mutual Funds (MFs), Portfolio Managers, Alternative Investment Funds (AIFs), Banks, Insurance Companies and Pension Funds etc. , which are allowed to trade in commodity derivatives                            |
| 5                          | Foreign participants                       | It includes participants such as Foreign Portfolio Investors, NRIs etc. which are allowed to trade in commodity derivatives markets.                                                                                                             |
| 6                          | Others                                     | <b>All other participants which cannot be classified in the above categories.</b>                                                                                                                                                                |

5. I/We further confirm having read and understood the contents of the 'Rights and Obligations' document(s) and 'Risk Disclosure Document'. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for information on stock brokers designated website [www.vertexbroking.com](http://www.vertexbroking.com)

Please enable me to deal/trade on \_\_\_\_\_ Exchange on aforesaid Segment(s).

Yours Sincerely,

Thanking you,

Name of Client: \_\_\_\_\_

Signature of Client \_\_\_\_\_

Place: \_\_\_\_\_

Date: \_\_\_\_\_

*(In case of Non-individual clients, affix relevant Company Stamp and Signed by authorized signatories-  
The Modification letter should be signed in the same order as it has been signed in the original KYC)*

**Request form for Internet and Mobile Trading Facility**

To,  
The Manager,  
Vertex Securities Limited

Sir,

Sub: **Activation of Internet Trading Facility**

I am requesting you to Activate Internet Trading Facility and Whatsapp Service for my Trading Account.

**Software required:**

☐ IBT EXE                      ☐ IBT WEB                      ☐ MOBILE TRADING

**Segment Required:**

|                                               |                                        |
|-----------------------------------------------|----------------------------------------|
| <input type="checkbox"/> NSE-CASH             | <input type="checkbox"/> NSE- CURRENCY |
| <input type="checkbox"/> NSE-F&O              | <input type="checkbox"/> BSE-CASH      |
| <input type="checkbox"/> BSE STAR MUTUAL FUND | <input type="checkbox"/> BSE-CASH      |

Yours Faithfully,

Signature:

Name: